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M.L.

Patent  
Attorney Docket: 260/207

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

02/06/01  
10961 U.S. PTO

Attorney Docket No.: 260/207  
First Named Inventor: Uppili Srinivasan  
Prior Application Information:  
Serial No. 09/206,778  
Examiner: Frantz Coby  
Art Unit: 2171

Box Patent Application  
Commissioner for Patents  
Washington, D. C. 20231

FILING UNDER 37 CFR § 1.53(b)

This is a request for filing for a

☒ continuation ☐ divisional ☐ continuation-in-part (CIP)

application under 37 CFR § 1.53(b) of pending prior application Serial No. 09/206,778 filed on December 7, 1998, by

**Uppili Srinivasan, et al.**, entitled:

**METHOD AND SYSTEM FOR REPRESENTING AND ACCESSING  
OBJECT-ORIENTED DATA IN A RELATIONAL DATABASE SYSTEM**

For CONTINUATION or DIVISION APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied, referenced above, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**I. APPLICATION ELEMENTS ENCLOSED**

36 Page(s) of Written Description  
4 Page(s) of Claims  
1 Page(s) of Abstract  
15 Sheet(s) of Drawings ☐ formal ☒ informal  
10 Page(s) of ☒ Declaration or ☐ Declaration and Power of Attorney  
☒ Copy from prior application [37 CFR § 1.63(d)]  
☐ Newly executed

Other: \_\_\_\_\_

CERTIFICATE OF MAILING  
(37 C.F.R. § 1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

EL659443209US  
Express Mail Label No.

February 6, 2001  
Date of Deposit

Angelica Jaquez  
Name of Person Mailing Paper

Angelica Jaquez  
Signature of Person Mailing Paper

11000 U.S. PTO  
09/778235  
02/06/01

- ☒ Assignment papers (cover sheet and documents(s))
- ☒ Notice of Recordation from prior application.
- ☒ An Information Disclosure Statement, PTO 1449, ☐ with copies of cited items.
- ☐ Request and Certification under 35 U.S.C. § 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35

## II. FEE CALCULATION

- ☐ Applicant claims small entity status under 37 CFR 1.27.

<b>BASIC FILING FEE:</b>						<b>\$710.00</b>
Total Claims	17	-	20	=	0	x \$18.00 \$0.00
Independent Claims	4	-	3	=	1	x \$80.00 \$80.00
Multiple Dependent Claims	\$270	(if applicable)				<input type="checkbox"/> \$0.00
<b>TOTAL OF ABOVE CALCULATIONS</b>						<b>\$790.00</b>
Reduction by ½ for Filing by Small Entity.						<input type="checkbox"/> \$0.00
Misc. Filing Fees (Recordation of Assignment)						\$0.00
<b>TOTAL FEES DUE HEREWITH</b>						<b>\$790.00</b>

## III. PRIORITY - 35 USC § 119

- ☒ Priority of application Serial No. 09/206,778 filed on December 7, 1998 in United States is claimed under 35 USC § 120.
- ☐ The certified copy has been filed in prior U.S. application Serial No. \_\_\_\_\_ on \_\_\_\_\_.
- ☐ The certified copy will follow.

## IV. AMENDMENTS

- ☒ Cancel in this application original Claims 16, 20 and 22 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes if no new claims are added in a preliminary amendment.)
- ☒ A Preliminary Amendment is enclosed. (Claims added by Amendment must be numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)

**V. RELATE BACK - 35 USC § 120**

- ☐ Relate back information included in preliminary amendment or specification.  
☒ Please ~~amend~~ the specification as follows:

On the ~~first~~ line of the specification, after the title, please ~~insert~~:

~~Am~~ Cross-reference to related application: This application is a continuation of application Ser. No. 09/206,778 filed December 7, 1998. ~~Am~~

- ☐ With respect to the prior co-pending U.S. application from which this application claims benefit under 35 USC § 120, the inventor(s) in this application is (are) [37 CFR 1.53(b)(1)]:
- ☒ the same.
- ☐ less than those named in the prior application and it is requested that the following inventor(s) identified above for the prior application be deleted [see 37 CFR §§ 1.33(b) AND 1.63(d)(2)]:
- [Name(s) of inventor(s) to be deleted]

**VI. METHOD OF PAYMENT OF FEES**

- ☐ Attached is a check in the amount of \_\_\_\_.
- ☒ Charge Lyon & Lyon's Deposit Account No. **12-2475** in the amount of \$790.00.
- ☐ Not attached. No filing fee is submitted. [This and the surcharge required by 37 CFR § 1.16(e) can be paid subsequently.]

**VII. AUTHORIZATION TO CHARGE ADDITIONAL FEES**

The Commissioner is hereby authorized to credit Lyon & Lyon's Deposit Account No. **12-2475** for any over payment of fees and to charge the following additional fees by this paper and during the entire pendency of this application to Deposit Account No. **12-2475**:

- ☒ 37 CFR § 1.16 (Filing fees and excess claims fees)
- ☒ 37 CFR § 1.17 (Application processing fees)
- ☒ 37 CFR § 1.21 (Assignment recordation fees)

**VIII. POWER OF ATTORNEY & CORRESPONDENCE ADDRESS**

- ☒ The power appears in the original papers in the prior application.
- ☐ The power does not appear in the original papers, but was filed on \_\_\_\_ in prior application Serial No. \_\_\_\_.
- ☐ A new power has been executed and is attached.

Please send all correspondence to Customer Number 22249:



22249

PATENT TRADEMARK OFFICE

LYON & LYON LLP  
Suite 4700  
633 W. Fifth Street  
Los Angeles, CA 90071  
(213) 489-1600

Please direct all inquiries to Christopher J. Brokaw, at the above Customer Number.

**IX. MAINTENANCE OF CO-PENDENCY OF PRIOR APPLICATION**

- ☐ A petition, fee and response has been filed to extend the term in the pending **prior** application until \_\_\_\_\_. A copy of the petition for extension of time in the **prior** application is attached.
- ☐ A conditional petition for extension of time is being filed in the pending **prior** application. A copy of the conditional petition for extension of time in the **prior** application is attached.
- ☒ No petition is believed to be required in the prior application. However, if a petition is required to maintain co-pending, please consider this a request therefore, and the requisite fees are hereby authorized to be charged against Deposit Account No. 12-2475.

**X. ABANDONMENT OF PRIOR APPLICATION**

- ☐ Please abandon the prior application at a time while the prior application is pending or when the petition for extension of time or to revive in that application is granted and when this application is granted a filing date so as to make this application co-pending with said prior application. At the same time, please add the words "now abandoned" to the amendment of the specification set forth in Item V above.

Respectfully submitted,

LYON & LYON LLP

Dated: February 6, 2001

By: 

Christopher J. Brokaw  
Reg. No. 45,620